



2124

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/649,215	
	Filing Date	8/28/2000	
	First Named Inventor	Lamkin, Allan B.	
	Group Art Unit	2124	
	Examiner Name	Vu, Tuan	
Total Number of Pages in This Submission	9*	Attorney Docket Number	68570/7236

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard to Applicant's Attorney
<input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	*Exclusive of patent/publication copies.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FITCH, EVEN, TABIN & FLANNERY, by Julie A. Hopper (Reg. No. 50,869)
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Date	May 11, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Allan Lamkin

Appl. No.: 09/649,215

Filed: 08/28/2000

Title: SOFTWARE ENGINE FOR  
COMBINING VIDEO OR AUDIO  
CONTENT WITH  
PROGRAMMATIC CONTENT

Group Art Unit: 2124

Examiner: Tuan Vu

Attorney Docket No.: 68570/7236

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☒ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

**THIS MAILED IDS IS BEING SUBMITTED CONCURRENTLY WITH AN ADDITIONAL ELECTRONIC IDS CONTAINING THE FEE OF \$180.00 SUBMITTED UNDER 37 CFR 1.17(p). AS SUCH, NO FEE IS REQUIRED IN THIS SUBMISSION FILED ON THE SAME DATE, MAY 11, 2004.**

**ADDITIONALLY, APPLICANTS NOTE THAT A MAILED IDS WAS PREVIOUSLY FILED ON JULY 21, 2003. THE EXAMINER HAS SINCE INDICATED THAT THE OFFICE LOST THE REFERENCES CITED IN THE JULY 2003 IDS. AS SUCH, APPLICANTS RECITE HEREIN THOSE REFERENCES PREVIOUSLY CITED ON JULY 21, 2003 FOR THE EXAMINER'S CONVENIENCE.**

- under 37 CFR 1.97(d) together with a:  
— Statement under 37 CFR 1.97(e), and  
— a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but  
before payment of the issue fee)

Applicant(s) submit herewith Form PTO/SB/08A- PTO/SB/08B Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The items listed on PTO/SB/08A- PTO/SB/08B are either in the English language or an English translation is provided, therefore, no further explanation is required.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application

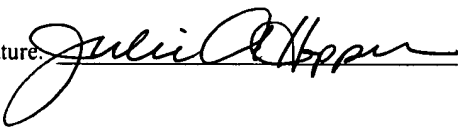
Respectfully submitted,

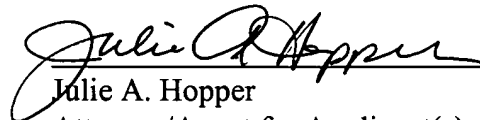
FITCH, EVEN, TABIN & FLANNERY

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Date of Deposit: May 11, 2004

Typed Name: Julie A. Hopper

Signature: 

  
Julie A. Hopper  
Attorney/Agent for Applicant(s)  
Reg. No. 50869

Date: May 11, 2004

Telephone No.: (805) 781-2824



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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/649,215
				Filing Date	08/28/2000
				First Named Inventor	Allan Lamkin
				Art Unit	2124
Examiner Name	Tuan A. Vu				
Attorney Docket Number	68570/7236				
Sheet	1	of	6		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-RE35,839	07/07/1998	Asai, et al.	
		09/476,190	1/3/2000	Todd R. Collart	
		09/488,345	1/20/2000	Todd R. Collart	
		09/488,337	1/20/2000	Evgeniy Getsin	
		09/488,613	1/20/2000	Evgeniy Getsin	
		09/488,155	1/20/2000	Evgeniy Getsin	
		09/489,600	1/20/2000	Evgeniy Getsin	
		09/488,614	1/20/2000	Evgeniy Getsin	
		09/489,601	1/20/2000	Evgeniy Getsin	
		09/489,597	1/20/2000	Evgeniy Getsin	
		09/489,596	1/20/2000	Todd R. Collart	

FOREIGN PATENT DOCUMENTS					
Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)					
EP 0 372 716 A2		06/13/1990	Minnesota Mining		
EP 0 809 244 A2		11/26/1997	Fujitsu Ltd.		
EP 0 809 244 A3		12/30/1998	Fujitsu Ltd.		
EP 0 849 734 A2		06/24/1998	Texas Instruments		
EP 0 849 734 A3		03/24/1999	Texas Instruments		
EP 0 853 315 A3		1/12/1999	Victor Company		
WO 99/51031		10/7/1999	Intel Corporation		
WO 99/14678		03/25/1999	WebTv Networks, Inc.		
WO 00/16229		03/23/2000	Preview Systems		
DE 42 42 992 A1		06/23/1994	Esselte Metro		
JP 11039262 A2		12/24/1999	Fujitsu Ltd.		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
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		ADVANCED TELEVISION ENHANCEMENT FORUM SPECIFICATION (ATVEF), Comment Draft Version 1.0r1, 1-20 copy of printout from web site <a href="http://www.atvef.com/atvef_spec/TVE-public.htm">Http://www.atvef.com/atvef_spec/TVE-public.htm</a> (October 18, 1998).	

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				<b>Filing Date</b>	08/28/2000
				<b>First Named Inventor</b>	Allan Lamkin
				<b>Art Unit</b>	2124
				<b>Examiner Name</b>	Tuan A. Vu
				<b>Attorney Docket Number</b>	68570/7236
<b>Sheet</b>	<b>2</b>	<b>of</b>	<b>6</b>		

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>			
<b>Examiner Initials*</b>	<b>Cite No.</b>	<b>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.</b>	<b>T<sup>2</sup></b>
		MBONE Multicasting Tomorrow's Internet, Request for Comments (RFC) 1112 and 1458, Appendices A and B, Copyright 1996, 1998.	
		Abstract of "MBone Provides Audio and Video Across the Internet," M. R. Macedonia and D. P. Brutzman, IEEE Computer, Vol. 27, No. 4, pp. 30 – 36 (April 1994).	
		Family Tree Maker Announces 5.0 Patch, by Rick Roberts, The Global Gazette, <a href="http://globalgazette.net/gaztec/gaztec15.htm">http://globalgazette.net/gaztec/gaztec15.htm</a> , posted 9/7/98, Vol. II, No. 13.	
		"When Signed, Sealed, Delivered, It's Yours," by Precision Marketing, p30(1), July 21, 1997	
		<a href="http://www.spinware.net/portanet/portanet.html">http://www.spinware.net/portanet/portanet.html</a> , printed on 1/8/2003	
		Abstract of Edgar Weippl's, "An Approach to Role-Based Access Control for Digital Content," 2001, IEEE, pages 290 – 294.	
		Henry M. Gladney, "Safeguarding Digital Library Contents and Users: Document Access Control," Dlib Magazine, <a href="http://www.dlib.org/dlib/june97/ibm/06gladdney.html">http://www.dlib.org/dlib/june97/ibm/06gladdney.html</a> , June 1997	
		"All power to the Web; CD-ROM is dead - or is it?" by Richard Reisman, President, Teleshuttle Corporation, January 1996 Teleshuttle white paper on Distributed Media, <a href="http://www.teleshuttle.com/tsdistrib.htm">http://www.teleshuttle.com/tsdistrib.htm</a>	
		"CyberMedia Secures Major Licensing Agreement With Sony for Oil Change Software," <a href="http://www.cypbermedia.com/company/pr/sony.html">http://www.cypbermedia.com/company/pr/sony.html</a> , 11/26/01	
		MarketScape's WebCD Pro Publisher, Ron Gustavson, Emedia Professional, December 1997, <a href="http://www.emedialive.com/awards/award11.html">http://www.emedialive.com/awards/award11.html</a> .	
		"Hybrid CD-ROM/Online Titles Will Surge in 1996," DCD Business, April 1996, <a href="http://www.tapediscbusiness.com/issues/1996/0496/apr_index.html">http://www.tapediscbusiness.com/issues/1996/0496/apr_index.html</a>	
		"Trends Online: Intel's Internet Plans: Hybrid Applications", by Andy Grove, PC Magazine, 7/24/96	

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				<b>Application Number</b>	09/649,215
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Filing Date</b>	08/28/2000
				<b>First Named Inventor</b>	Allan Lamkin
				<b>Art Unit</b>	2124
				<b>Examiner Name</b>	Tuan A. Vu
				<b>Attorney Docket Number</b>	68570/7236
<b>Sheet</b>	3	of	6		

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>			
<b>Examiner Initials*</b>	<b>Cite, No.</b>	<b>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.</b>	<b>1<sup>2</sup></b>
		"Internet tool triggers audio CDs," by Cate C. Corcoran, July 24, 1995, <a href="http://www.access.ch/power/infoservices/MacWeek/MacWeek240795.html">http://www.access.ch/power/infoservices/MacWeek/MacWeek240795.html</a>	
		"MarketScape(R) WebCD(TM) 1.0 Bypasses Internet Bottlenecks," DialogWeb, August 26, 1996	
		"Microsoft Expands Benefits for Site Builder Network Members," Microsoft Press Releases, San Jose, CA, Oct. 29, 1996, <a href="http://www.spindia.com/trisoft/design/Press/SBN/SBNtwkpr.htm">http://www.spindia.com/trisoft/design/Press/SBN/SBNtwkpr.htm</a>	
		"CD-ROM/Online Hybrids, The Missing Link?," by Richard R. Reisman, CD-ROM Professional, Vol. 8, No. 4, April 1995, <a href="http://www.teleshuttle.com/cdpart.htm">http://www.teleshuttle.com/cdpart.htm</a>	
		Netstuff postings; 9/2 - 9/6/1996, 8/26 - 8/30/1996, 8/19 - 8/23/1996, 8/12 - 8/16/1996, edited by James Careless with files from the Newsbytes News Network, <a href="http://www.monitor.ca/monitor/issues/vol4iss1/netstuff.html">http://www.monitor.ca/monitor/issues/vol4iss1/netstuff.html</a>	
		"Oil Change software hunts down upgrades," by Bary Cooper, The Orlando Sentinel, Web-Posted 10/30/1996, The Augusta Chronicle Online, <a href="http://www.augustachronicle.com/headlines/103196/oil_change.html">http://www.augustachronicle.com/headlines/103196/oil_change.html</a>	
		Player 2.0 Product Description, Copyright 1995 - 2000, InterActual Technologies	
		Resource Guide on Distributed Media: Local/CD-ROM/Online/Web, updated 11/25/96, Richard R. Reisman, President, Teleshuttle, <a href="http://www.teleshuttle.com/resource">http://www.teleshuttle.com/resource</a>	
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		"Spin Webs around a CD-ROM: The Next Generation of CD/Web Hybrids," Richard R. Reisman, President, Teleshuttle Corporation, Mass High Tech, 9/2/1996, <a href="http://www.teleshuttle.com/nextgen.htm">http://www.teleshuttle.com/nextgen.htm</a>	
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		"Verity smooths Net searches," by CNET News.com Staff, Tech News - CNET.com, 2/20/1996	

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				Examiner Name	Tuan A. Vu
Sheet	4	of	6	Attorney Docket Number	68570/7236

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Web/CD-ROM hybrids; A working definition," site established 10/02/98, <a href="http://www.philb.com/webcd.htm">http://www.philb.com/webcd.htm</a>	
		Who's Who Hybrid Internet/CD-ROM Magazine/Catalog, Google cache 11/26/01, <a href="http://www.ecatcorp.com/alpha/mci.htm">http://www.ecatcorp.com/alpha/mci.htm</a> .	
		WebCD, Internet Posting, Roger Clarke, 12/1/1996, <a href="http://www.anu.edu.au/people/Roger.Clarke/II/WebCD.html">http://www.anu.edu.au/people/Roger.Clarke/II/WebCD.html</a>	
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		Re: WebCD, Internet Posting, Rachel Polanskis, 12/2/1996, <a href="http://www.anu.edu.au/mail-archives/link/link9612/0013.html">http://www.anu.edu.au/mail-archives/link/link9612/0013.html</a>	

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<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>			
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		"World-Wide Web: a distributed paradigm for global networking"; Heylighen, F., Proceeding SHARE Europe Spring Conference; pp. 355-368; Apr. 18, 1994	
		"A Simplified and an Efficient Packet Level Internet Access Control Scheme"; Iqbal, MS, et al.; ICCS/ISITA '92; Singapore; IEEE Publications; 1992; pp. 963-967.	
		"CDLink", Duguid, Brian; The Wire, April 1996, available at <a href="http://www.hyperreal.org/~duguid/wirecdlk/html">http://www.hyperreal.org/~duguid/wirecdlk/html</a>	
		"CDLink: Multimedia Liner Notes to Complement Your Music Collection", Mirapaul, Matthew; N.Y. Times (online ed.); January 25, 1996; available at <a href="http://is.gseis.ucla.edu/impact/w96/News/News3/0125mirapaul.html">http://is.gseis.ucla.edu/impact/w96/News/News3/0125mirapaul.html</a>	
		"Voyager CDLink Turns Audio CDs into CD-ROMs"; Press Release, Voyager Co.; (July 12, 1995), available at <a href="http://www.voyagerco.com">http://www.voyagerco.com</a> from July 12, 1995 to 1997.	
		"Net Surf", Wired Magazine, Issue 4.05 (May 1996), available at <a href="http://www.wired.com/wired/archive/4.05/net_surf.html">http://www.wired.com/wired/archive/4.05/net_surf.html</a>	
		"Voyager CDLink (VCD) Control Language Reference", available at <a href="http://web.archive.org/web/19970213172801/www.voyagerco.com/cdlink/about/vcd_ref/cmdref.html">http://web.archive.org/web/19970213172801/www.voyagerco.com/cdlink/about/vcd_ref/cmdref.html</a> , originally available at <a href="http://www.voyagerco.com/cdlink/about/vcd_ref/cmdref.html">http://www.voyagerco.com/cdlink/about/vcd_ref/cmdref.html</a> on August 8, 1995	
		"Labels Link CDs, Web Sites Via CDLink", Marilyn A. Gillen, Billboard, July 22, 1995, at 58	
		HyperLOCK Technologies at a Trade Show at the Jacob K. Javits Convention Center, New York, New York, taken August 1, 1996	
		"Hybrid 'Net: CD-ROMs & the Web working in tandem"; Rosebush, et al.; <a href="http://www.netscapeworld.com/netscapeworld/nw-08-1996/nw-08-hybridcd.html">www.netscapeworld.com/netscapeworld/nw-08-1996/nw-08-hybridcd.html</a> ; published August 15, 1996	
		"Going Hybrid: The Online CD-ROM Connection"; Stansberry; NEWMEDIA, June 1995, pp. 34-40.	
		"CD-ROM and Web browsers: HTML as the Lingua Franca", CD-ROM Professional, August 1996, Vol. 9, No. 8 at page 26	

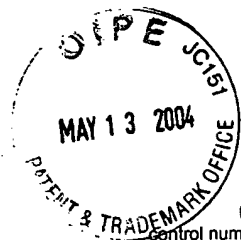
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<b>Substitute for form 1449A/PTO</b>				<b>Complete if Known</b>	
				<b>Application Number</b>	09/649,215
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Filing Date</b>	08/28/2000
				<b>First Named Inventor</b>	Allan Lamkin
				<b>Art Unit</b>	2124
				<b>Examiner Name</b>	Tuan A. Vu
<b>Sheet</b>	6	of	6	<b>Attorney Docket Number</b>	68570/7236

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>			
<b>Examiner Initials*</b>	<b>Cite, No.</b>	<b>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.</b>	<b>1<sup>2</sup></b>
		Standard ECMA-130, "Data interchange on read-only 120 mm optical data disks (CD-ROM)", 2nd Edition, June 1996	
		"Relative Uniform Resource Locators", Fielding, June 1995, available at <a href="http://www.w3.org/Addressing/rfc1808.txt">http://www.w3.org/Addressing/rfc1808.txt</a>	
		"A Beginners Guide to URLs", available at <a href="http://archive.ncsa.uiuc.edu/demoweb/url-primer.html">http://archive.ncsa.uiuc.edu/demoweb/url-primer.html</a>	
		"Naming and Addressing: URIs, URLs, ..." available at <a href="http://www.w3.org/Addressing/">http://www.w3.org/Addressing/</a>	
		Sastry, Chellury R. et al., "Webtour: A System to Record and Playback Dynamic Multimedia Annotations on Web Document Content" Proceedings of the seventh ACM international conference on Multimedia; October 1999, pp. 175-178.	
		Nkane, et al., Development of combined HDD and recordable-DVD video recorder, consumer Electronics, 2002, ICCE, 2002 Digest of Technical Papers, International Conference on 18-20 June 2002, pp. 264-265	

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